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Prevalence of alcohol-tolerant and antibiotic-resistant bacterial pathogens on public hand sanitizer dispensers

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PII: S0195-6701(22)00181-5

DOI: https://doi.org/10.1016/j.jhin.2022.05.017

Reference: YJHIN 6676

To appear in: Journal of Hospital Infection

Received Date: 28 March 2022 Revised Date: 19 May 2022 Accepted Date: 25 May 2022

Please cite this article as: Yeung YWS, Ma Y, Liu SY, Pun WH, Chua SL, Prevalence of alcohol-tolerant and antibiotic-resistant bacterial pathogens on public hand sanitizer dispensers, *Journal of Hospital Infection*, https://doi.org/10.1016/j.jhin.2022.05.017.

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1	Prevalence of alcohol-tolerant and antibiotic-resistant bacterial pathogens on
2	public hand sanitizer dispensers
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21	Keywords: Hand sanitizer; antibiotic resistance; alcohol tolerance; bacteria; infection
22	

23	Abstract:
24	Introduction:
25	Since the advent of Covid-19 pandemic, alcohol-based hand sanitizer dispensers
26	(HSDs) are installed in most public and clinical settings for hygiene purposes and
27	convenient application. However, this raised concerns if sanitizer-tolerant bacterial
28	pathogens can colonize on HSDs, which can spread diseases and antibiotic resistance.
29	
30	<u>Methods:</u>
31	We conducted sampling from operational automatic HSDs, specifically the dispensing
32	nozzle in direct contact with sanitizer. Culture-dependent cultivation of bacteria and
33	MALDI-TOF were employed to assess microbiological contamination. Bacterial isolates
34	were selected for rapid killing and biofilm eradication assays with alcohol treatment.
35	Antibiotic minimal inhibition concentration (MIC) assays were performed according to
36	the Clinical & Laboratory Standards Institute guidelines. Virulence potential of bacterial
37	isolates was evaluated in the Caenorhadbitis elegans infection model.
38	
39	Results:
40	Nearly 50% HSDs from 52 locations, including clinical settings, food industry and public
41	spaces, contain microbial contamination at $10^3$ - $10^6$ bacteria/ml. Bacterial identification
42	revealed Bacillus cereus as the most common pathogen (29 %), while Enterobacter
43	cloacae was the only Gram-negative bacterial pathogen (2 %). Selecting B. cereus and
44	E. cloacae isolates for further evaluation, we found that these isolates and associated
45	biofilms were tolerant to alcohol with survival up till 70%. They possessed resistance to
46	various antibiotic classes, with higher virulence than lab strains in the C. elegans
47	infection model.
48	
49	Conclusion:
50	HSDs serve as potential breeding grounds for dissemination of pathogens and antibiotic
51	resistance across unknowing users. Proper HSD maintenance will ensure protection of
52	public health and sustainable use of sanitizing alcohols, to prevent emergence of
53	alcohol-resistant pathogens.

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- Keywords: Hand sanitizer dispenser; bacteria; antibiotic resistance; alcohol tolerance;
- 56 virulence

58	Introduction:
59	Alcohols are commonly used to control microbial infection in clinical settings globally.
60	Due to the Covid-19 pandemic, alcohol-based hand sanitizer dispensers (HSDs) are
61	also installed in various locations, such as home, food and beverage settings, and
62	public spaces. This enabled convenient application by public users for rapid hygiene
63	maintenance. Although manual HSDs remain in use, their hand-operated levers
64	contained most pathogens while dispensing nozzles remain sterile [1]. This drives the
65	increasing use of automatic and contact-free HSDs with sensors that detect the hands
66	placed under the nozzle spout, and dispenser that pumps the alcohol directly onto the
67	outstretched palms, thereby reducing the spread of potential pathogens.
68	
69	It is noted that hand sanitizers of various brands can kill nearly all pathogens [2], but
70	recent studies had shown that hospital-acquired clinical isolates may gain tolerance to
71	alcohols [3]. Microbial contamination was also found in alcohol manufacturing plants [4].
72	Mutations in carbohydrate metabolism enable bacteria to survive at higher alcohol
73	concentrations [3]. Formation of multicellular biofilms with their sticky exopolymeric
74	matrix acting as physical barrier can protect bacteria from alcohol killing [5, 6].
75	
76	This raises an impending question if widespread use of HSDs and similar devices
77	enables the colonization of alcohol-tolerant bacteria, especially in the dispensing nozzle
78	spout in direct contact with alcohol, with a potential to cause the spread of microbial
79	diseases. Our study aims to directly show the presence of bacteria in direct contact with
80	hand sanitizer, with factors accounting for antibiotic resistance, biofilm formation and
81	virulence potential. By swabbing the dispensing nozzle spout from operational
82	automatic HSDs in direct contact with hand sanitizer, we showed the presence of live
83	alcohol-tolerant bacteria with antibiotic resistance and ability to cause diseases in a
84	Caenorhabditis elegans infection model, indicating the need to consider microbial
85	contamination in HSDs seriously.
86	
87	

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89	Methods
90	Microbiological sampling from hand sanitizer dispensers
91	Ethical approval was granted by the Research Safety Sub-committee, Hong Kong
92	Polytechnic University (ARSA-21134-DEPT-ABCT). Standard microbiological sampling,
93	detection and enumeration of bacteria from swabs were performed in accordance to
94	Public Health England standard methods [7]. Sampling was achieved by swabbing the
95	entire area of mouth opening of the nozzle from working hand sanitizer dispenser by
96	using the sterile $3M^{\text{\tiny TM}}$ Quick Swab which contained the Letheen neutralizing buffer used
97	to neutralize disinfectant effect. Samples were collected from 52 local sites in Oct 2021
98	for examination on the day of collection or within 12 hrs of collection.
99	
100	Bacterial isolation
101	Samples were vortexed briefly to aid the release of microbes into the diluent, followed
102	by transfer and spreading on standard petri dishes each containing 20 ml lysogeny
103	broth agar (LBA) for growth of microbes. The petri dishes were incubated in room
104	temperature, where bacterial colony growth was observed every day for 3 days.
105	Colonies with unique phenotype (morphology, shape and colour) are picked for further
106	experiments and stored with 50% (v/v) glycerol at -80 °C.
107	
108	Matrix-assisted laser desorption-ionisation time-of-flight (MALDI-TOF) mass
109	spectrometry analysis
110	Per manufacturer's instructions in MALDI Biotyper® Protocol Guide (Bruker Daltonics),
111	a bacterial colony directly smeared as a thin film onto a sample position on a MALDI
112	target plate, then overlaid with $1\mu l$ formic acid (70%) and $1\mu l$ of HCCA solution + 10
113	mg/ml of α-cyano-4-hydroxycinnamic acid in standard solution within 30 min and dried
114	at room temperature. Standard solution was prepared with 50 Vol% Acetonitrile, 47.5
115	Vol% Milli-water (MILLIPAK® 40 GAMMA GOLD) and 2.5 Vol% Trifluoroacetic acid. As
116	previously described [8], the sample plate was performed with MALDI-TOF MS (Matrix-
117	Assisted Laser Desorption/Ionization Time-Of-Flight mass spectrometry, Bruker
118	Daltonics GmbH & Co. KG), under control by FlexControl ultraflex TOF/TOF software.
119	Each spectrum had a summation of 200 laser shots with a mass range of 2000–20000

120	Da. The spectrum from each microbe is matched against each main spectrum in the
121	microbe library. The range from 2.000-3.000 indicates the high confidence identification
122	and 1.700-1.999 indicates low confidence identification.
123	
124	Bacterial colony-forming units (CFU) assay
125	As previously described [9], bacterial cultures were serially diluted, grown on LB agar
126	and incubated at 37 °C for 16 hrs. Colonies were enumerated and the CFU/ml was
127	tabulated by (no. of colonies x dilution factor) / volume of culture plate.
128	
129	Alcohol killing assay
130	Bacterial cells from overnight cultures were washed with 0.9% NaCl (w/v) saline and
131	their OD600nm was adjusted to 0.3 in LB containing various concentrations (0%,
132	4.38%, 8.75%, 17.50%, 25%, 35% and 70%) of ethanol. For rapid killing with ethanol,
133	the bacterial cells were incubated at 37 °C for 10 mins. The bacterial populations were
134	enumerated with CFU assay as described above.
135	
136	Biofilm eradication assay
137	As previously described [10], the minimal biofilm eradication concentration (MBEC)
138	assay (previously known as Calgary Biofilm device) was employed by using Nunc
139	Immuno TSP Lids (Thermo Scientific™). The bacterial isolates were cultivated in 200 μl
140	LB media to enable biofilm formation on the peg surfaces at 37 °C for 24 hrs. After
141	washing the biofilms three times with 0.9% NaCl (w/v) saline, mature biofilms on the
142	peg lids were fitted into 96-well microtitre plates containing 6 different concentrations of
143	ethanol (70%, 35%, 17.5%, 8.75%, 4.375%, and 0%). After 24 hrs at 37 °C, biofilm cells
144	were disrupted into saline by sonication in ice water bath for 10 mins, followed by 15
145	secs rigorous vortexing for 3 times. For quantification of bacterial numbers, CFU assay
146	was employed as described above.
147	
148	Endospore staining assay
149	A 10 µl aliquot of bacterial sample was added to the centre of the glass slide, where the
150	sample was airdried for 5 minutes and heat fixed. A few drops of 1% Malachite green

151	stain were added to the fixed sample and steamed for 5 mins. Distilled water was used
152	to wash away the stain, followed by addition of a few drops of safranin to stain bacterial
153	samples for 30 secs. Distilled water was also used to wash away the remaining stain.
154	Representative brightfield images of the bacterial cells and endospores were captured
155	by a brightfield microscope (Zeiss, Germany) under 100X objective.
156	
157	Antibiotics susceptibility assay
158	The minimum inhibitory concentrations (MICs) testing of antibiotics on bacterial isolates
159	were determined according to the Clinical & Laboratory Standards Institute guidelines
160	[11-13]. Bacteria were cultivated in 200 µl Mueller-Hinton (MH) media with various
161	antibiotic concentrations in 96-well plate (Thermo Scientific <sup>TM</sup> , Nunc). The OD <sub>600nm</sub>
162	values of each well were quantified at 0 hr, 8 hrs and 24 hrs with a microplate reader
163	(Tecan Infinite 2000), where the MIC was determined at the antibiotic concentration with
164	no bacterial growth.
165	
166	C. elegans infection assay
167	As previously described [14, 15], the Bristol N2 wild-type C. elegans provided by the
168	Caenorhabditis Genetics Center, the University of Minnesota was maintained. For
169	nematode killing assay, the bacterial isolates were first cultivated as bacterial lawns on
170	peptone-glucose-sorbitol agar (PGS; 1% Bacto-Peptone/1% NaCl/1% glucose/0.15 M
171	sorbitol/1.7% Bacto-Agar) at 37 °C for 24 hrs [16]. Thirty stage L3 nematodes were
172	transferred from the maintenance petri dish with Escherichia coli OP50 to triplicate
173	bacterial testing petri dishes with a titanium wire picker. The cocultures were incubated
174	at room temperature for 5 days and observed for live/dead nematodes under a
175	stereomicroscope (Zeiss).
176	
177	Statistical analysis.
178	The results were expressed as means ± standard deviation. Data groups were
179	compared using the one-way ANOVA and Student's t-test to evaluate associations
180	between independent variables, and the $p$ values were obtained. Three independent
181	trials were conducted in triplicate for each experiment.

#### Results

Characteristics of the microbes found on dispensing nozzle of hand sanitizer dispensers We sampled from the nozzle spout exits of fifty operational HSDs located in different places, ranging from clinical settings (hospitals and clinics) to commercial settings (restaurants and supermarkets) (Figure 1a). The HSDs originate from common brands used locally, where the hand sanitizers contain ethanol concentrations ranging from 60% to 75% (w/w). We found that nearly half of the HSDs contained microbial contaminants, where bacteria grew on LB agar, with a large range of bacterial numbers, ranging from 10<sup>3</sup> to 10<sup>6</sup> CFU/ml (Figure 1b). We picked and collected the unique colonies for initial evaluation using MALDI-TOF. Most bacterial isolates were identified as Gram-positive bacteria, where nearly 30% bacterial isolates were identified as B. cereus, while Staphylococcus species were next most frequently isolated (Figure 1c). Interestingly, the only Gram-negative bacterial species that we isolated was E. cloacae at 2%, which is an opportunistic pathogen associated with urinary tract infections and pneumonia in immunocompromised individuals [17]. Since B. cereus can form endospores which are resistant to alcohol, we evaluated if the HSD samples contain any endospores by using the endospore staining assay. We observed the presence of intact bacterial cells, but no endospores in the samples, indicating that the bacterial cells were vegetative (Supplementary Figure S1).

### Microbes are tolerant to killing by low levels of alcohol

Based on their unique locations, such as hospital, clinic, school, supermarket and restaurant, we chose five *B. cereus* and two *E. cloacae* isolates for further microbiological evaluation. For *B. cereus*, we found that these isolates were tolerant to alcohol, where 3 isolates (BC1, BC2 and BC5) could survive 70% ethanol treatment (Figure 2). Since most commercial hand sanitizers contain at least 60% alcohol [18], this explains why *B. cereus* can colonize directly on the dispensing nozzle. Moreover, vegetative *B. cereus* could remain intact even with alcohol treatment (Supplementary Figure S2), indicating that the bacteria are tolerant to alcohol even in the absence of endospores. On the other hand, both *E. cloacae* isolates (EC1 and EC2) could not survive high concentrations of ethanol (Figure 2), where they were only unaffected by

213	17.5% alcohol. This could be attributed to prolonged ethanol evaporation from the
214	nozzle that enables microbes to survive there [19].
215	
216	Since bacteria spend most of their lives as biofilms on most biotic and abiotic surfaces
217	with a high potential to contaminate environmental and food surfaces [20, 21], we also
218	assessed if their biofilms could tolerate higher levels of alcohol. All bacterial isolates
219	could grow biofilms on the peg lid of MBEC assay, where the <i>B. cereus</i> biofilms were in
220	general tolerant to 70% alcohol (Figure 3). However, E. cloacae biofilms remain
221	susceptible to high alcohol concentrations (Figure 3), which corroborate with our data of
222	planktonic cells (Figure 2). This implied the possibility that E. cloacae were probably
223	colonizing on HSDs with evaporated hand sanitizers.
224	
225	Antibiotic resistance profiles of bacterial isolates
226	To evaluate if the HSD-associated bacteria are important in the context of public health
227	and clinical settings, we first determined the antibiotic resistance profiles of the bacterial
228	isolates, as determined according to the Clinical & Laboratory Standards Institute
229	guidelines [11]. B. cereus were treated with the representative antibiotic of each class
230	commonly used in clinical settings, where the isolates possessed resistance to beta-
231	lactams and macrolides, but remain mostly sensitive to rifampicin, aminoglycosides and
232	fluoroquinolones (Table 1). However, E. cloacae isolates were resistant to most
233	common antibiotic classes, such as macrolides, beta-lactams, and Rifampicin (Table 1),
234	indicating that HSDs could harbour multidrug resistant bacteria.
235	
236	Bacterial isolates are virulent against Caenorhabditis elegans infection assay
237	The ability to cause disease is a major concern in public health. We evaluated the ability
238	of the HSD-associated bacteria to infect and kill C. elegans, which is frequently used as
239	an animal infection model to evaluate bacterial virulence [14, 15, 22]. For B. cereus,
240	only BC1 and BC5 isolates were more virulent than the ATCC B. cereus strain, while
241	the rest of the isolates were not virulent (Figure 4). Both EC1 and EC2 isolates were
242	highly virulent against C. elegans (Figure 4), indicating that the HSD-associated
243	bacteria could cause diseases in humans.

#### **Discussion**

HSDs are important for hygiene maintenance in clinical and public settings. Without proper hygiene and frequent maintenance of HSDs, HSDs might serve as potential breeding grounds for widespread dissemination of pathogens and antibiotic resistance, resulting in the spread of diseases across unknowing users. This has significant impact on human health as nearly half of all HSDs sampled from different locations, including hospitals and restaurants, possess bacteria in the dispensing nozzle. It is a surprising finding as the HSDs are automatic and contact-free with few opportunities for direct contact by users and hence microbial contamination. This is in contrast to manual HSDs that are highly susceptible to microbial contamination due to direct hand contact of the lever. Furthermore, contrary to assumptions that only spores could survive under harsh alcohol treatments, intact vegetative bacterial cells were present in the swabbed samples despite constant exposure to hand sanitizer in the nozzle spout. Hence, we suggest that there could be other factors that enable microbial colonization on automatic HSDs, such as prolonged ethanol evaporation from the nozzle [19], and misuse of HSDs, such as direct hand contact of nozzle spout.

Next, our work showed that HSDs-associated bacteria acquired some degree of alcohol tolerance, albeit restricted to a few bacterial isolates. While there were no signs of alcohol resistance in our study, some *B. cereus* isolates could survive the rapid killing of 70% alcohol at low viable numbers. Nonetheless, they were still a cause for concern, as *B. cereus* isolates were susceptible to low ethanol concentrations decades ago [23] and only their spores were resistant to ethanol [24]. This indicated that bacteria may evolve alcohol resistance in future with prolonged and overuse of alcohol disinfectants.

Moreover, they were resistant to various antibiotic classes, with a heightened ability to cause disease. This showed that bacterial pathogens from HSDs possess alcohol tolerance, antibiotic resistance and virulence potential.

Our study has several limitations, where we employed culture-based techniques, instead of culture-independent methods, such as metagenomics, to identify HSD-associated bacteria. While there is a possibility of missing out on unculturable bacteria

with fastidious nutrient requirements and anaerobic bacteria, many human pathogens can grow in microbiological agar and direct exposure to air enables the survival of aerobic bacteria. It is important to note that the HSD nozzles in direct contact with hand sanitizer were also exposed to the external environment with constant air circulation, indicating that anaerobic bacteria may not colonize well in such environments.

As we collected the samples over the course of one month, we also did not account for the changes in temperature and humidity of the surrounding environment, where such factors may alter the HSD-associated microbiome. Lastly, it is unclear how frequently the HSDs were utilized and maintained. A poorly maintained HSD which is rarely used, may encourage growth and colonization of microbes. Nonetheless, our work raises the need to consider how microbes can adapt to alcohol in infection prevention. From the manufacturers' point of view, the hand sanitizer formulations may require modifications to retain their effectiveness, such as using different alcohols such as propanol [25] or adding other antimicrobial compounds [26]. The HSD manufacturers may also consider using antimicrobial surfaces in the nozzle or incorporating UV light features to disinfect the nozzle after every use.

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HSDs are commonly assumed by the public to be sterile, but our work surprisingly showed that alcohol-tolerant microbes can exist on HSDs, even with direct contact with hand sanitizer. These microbes are pathogenic in nature, where they possess resistance to various antibiotic classes and virulence potential. This indicates that HSD-associated microbes may cause diseases in users, especially immunocompromised patients, the elderly and children. Hence, we propose frequent cleaning and replacing fresh hand sanitizers, if left unfinished over prolonged time. Public education is also key to proper use of such devices. These precautions will ensure protection of public health and sustainable use of sanitizing alcohols, thereby preventing the emergence of alcohol-resistant pathogens.

305	Acknowledgments:
306	YWSY, YM, SYL and WHP performed the experiments and analysed the data, while
307	SLC planned the experiments and wrote the paper. All authors discussed the results
308	and commented on the manuscript. All authors had access to all the data in the study
309	and had final responsibility for the decision to submit to publication.
310	
311	Conflict of interest statement
312	We declare no conflicting interests.
313	
314	Funding statement
315	This research was supported by Gifted Education Fund (2020-06); Departmental
316	Startup Grant (BE2B); State Key Laboratory of Chemical Biology and Drug Discovery
317	Fund (1-BBX8); Departmental General Research Fund (UALB); One-line account
318	(ZVVV); Environmental and Conservation Fund (ECF-48/2019); and Health and Medical
319	Research Fund (HMRF-201903032).
320	

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### 407 Figures

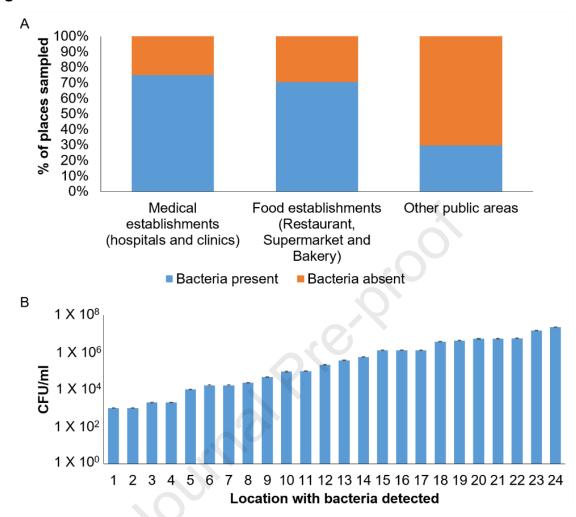


Figure 1. Characteristics of the microbes found on dispensing nozzle of hand sanitizer dispensers. (A) Study profile of samples collected from different locations for microbiological analysis. (B) Bacterial CFU from each dispenser contaminated with bacteria (presented in ascending order). Means and s.d. from triplicate experiments are shown.

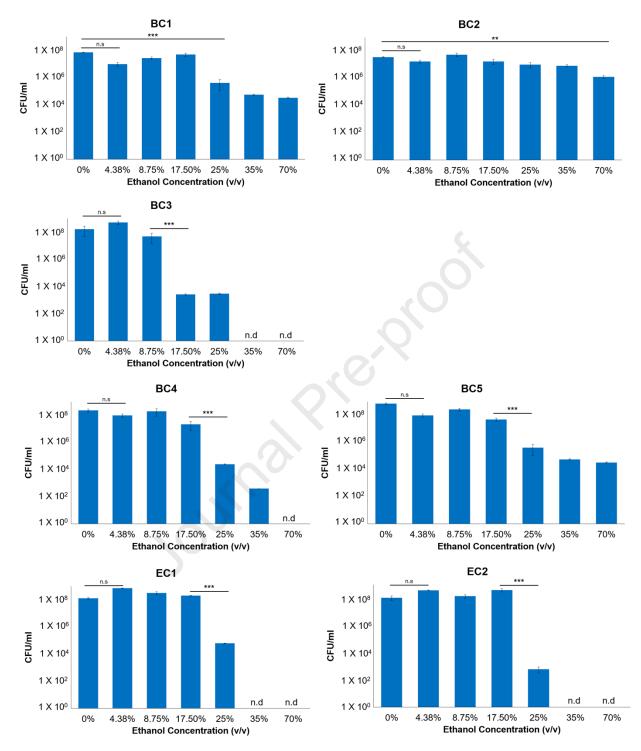
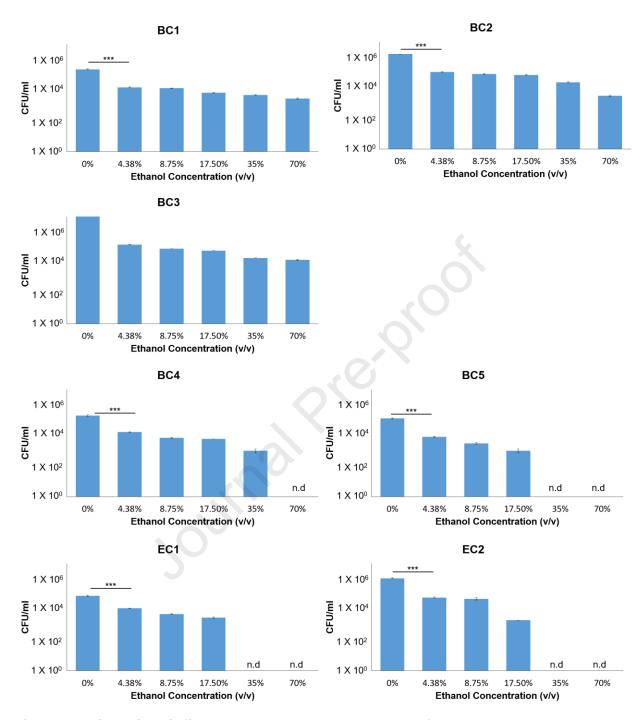


Figure 2. Microbes are tolerant to killing by low levels of alcohol after 10 mins of treatment. Means and s.d. from triplicate experiments are shown. BC: *Bacillus cereus*. EC: *Enterobacter cloacae*. \*\*\* states for p value < 0.001, n.s stands for not significant, n.d indicates not detectable.



**Figure 3. Microbial biofilms are tolerant to low levels of alcohol.** Means and s.d. from triplicate experiments are shown. BC: *Bacillus cereus*. EC: *Enterobacter cloacae*. \*\*\* states for *p* value < 0.001, n.d indicates not detectable.

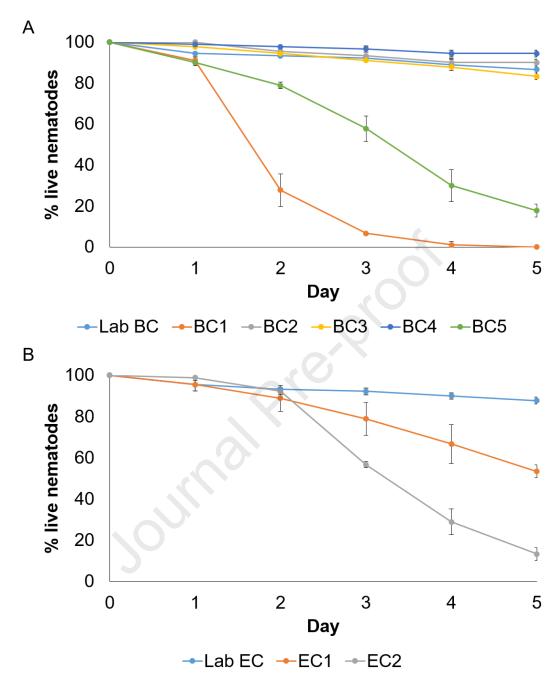


Figure 4. *B. cereus* (BC) (A) and *E. cloacae* (EC) (B) are virulent against *Caenorhabditis elegans* infection assay.

### Table 1. Microbial species composition of isolates by MALDI-TOF.

Bacterial Species	% occurrence
Bacillus cereus	29
Staphylococcus warneri	9
Bacillus pumilus	6
Staphylococcus saprophyticus	5
Micrococcus luteus	3
Staphylococcus capitis	2
Enterobacter cloacae	2
Kocuria kristinae	2
Others	42

### Table 2. Antibiotic resistance profiles of B. cereus (BC) and E. cloacae (EC)

**isolates**. Minimal inhibitory concentrations (MICs) of Ampicillin (Amp), Gentamycin (Gm), Levofloxacin (Levo), Rifampicin (Rif), Erythromycin (Ery), and Amoxicillin (Amox) are listed, where their profiles are classified as S: sensitive; I: intermediate; R: resistant.

434		Amp (ug/ml)	S/I/R	Gm (ug/ml)	S/I/R	Levo (ug/ml)	S/I/R	Rif (ug/ml)	S/I/R	Ery (ug/ml)	S/I/R
435	BC1	>1.00	R	2.00	S	0.31	S	0.60	S	5.00	I
426	BC2	>1.00	R	2.00	S	<0.15	S	0.60	S	5.00	1
436	BC3	>1.00	R	4.00	S	0.60	S	0.08	S	>10.00	R
437	BC4	>1.00	R	4.00	S	0.30	S	0.30	S	>10.00	R
437	BC5	>1.00	R	2.00	S	5.00	I	0.60	S	5.00	I

	Ery (ug/ml)	S/I/R	Levo (ug/ml)	S/I/R	Rif (ug/ml)	S/I/R	Amox (ug/ml)	S/I/R
EC1	>5.00	R	>0.08	S	>5.00	R	>10.00	R
EC2	>5.00	R	>0.08	S	>5.00	R	>10.00	R